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A/N 182  
PATENT  
540280-2147

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Robert K. Jarvik

Serial No. : 07/311,921

Filed : February 16, 1989

For : INTRAVENTRICULAR ARTIFICIAL HEARTS AND METHODS OF  
THEIR SURGICAL IMPLANTATION AND USE

Examiner :

Art Unit : 332

530 Fifth Avenue  
New York, NY 10036

I hereby certify that this correspondence is  
being deposited with the United States Postal  
Service as first class mail in an envelope  
addressed to:

Commissioner of Patents and Trademarks,  
Washington, D.C. 20231 on March 27, 1989

Peter C. Lauro, Reg. No. 32,360  
Name of Applicant, Assignee or Registered  
Representative

Signature

March 27, 1989

Date of Signature

REQUEST FOR CORRECTION  
OF FILING RECEIPT

Hon. Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

It is respectfully requested that the foreign filing  
license grant date be corrected to read -- 02/17/89 -- instead of  
"03/08/89", as indicated on the attached License for Foreign  
Filing.

Lb  
Jpn

PATENT  
540280-2147

A petition for an expedited license for foreign filing (copy enclosed) was filed and resulted in a foreign filing license grant date of February 17, 1989.

We would appreciate receiving a copy of the corrected filing receipt for our records. A copy of the filing receipt indicating the change to be made is enclosed.

Respectfully submitted,



Peter C. Lauro  
(Registration No. 32,360)  
Curtis, Morris & Safford  
Attorneys for Applicant  
(212) 840-3333

JK:RKJ2147.REQ

*LHR*

*MCG*

MUNSON H. LANE, JR.

PATENT AGENT

SUITE 402

1515 N. COURTHOUSE ROAD

ARLINGTON, VIRGINIA 22201

PHONE 703 522-6762

February 17, 1989.

Hon. Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Attention: Licensing & Review Division

Re: Petition for License for filing in Canada  
C.I.P. Application filed Feb. 16, 1989

S/N 07/156,896 filed Feb. 17, 1988

Inventor: Robert K. Jarvik

For INTRAVENTRICULA ARTIFICIAL HEARTS AND METHODS OF  
THEIR SURGICAL IMPLANTATION AND THEIR USE

Sir:

It is hereby petitioned that an expedited license for foreign filing in Canada be granted for the above identified patent application, a copy of which is attached hereto.

A duplicate copy of this petition is attached.

My check No. 5426 in the amount of \$140.00 in payment of the petition fee for expedited handling of foreign filing license in accordance with 37 CFR 1.17 (h) is attached.

Please call me when the license is available today, by 3:00 p.m. and I will call for same. Telephone No. 522-6762.

Respectfully,

*Munson H. Lane Jr.*

MUNSON H. LANE, JR.

MHL/le

Enc. Copy of Patent Application in Duplicate  
Copy of Petition  
Check No. 5426 \$140.00

cc: Peter Lauro, Esq.



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

SERIAL NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.
P-80,769		ROBERT K. TARVIK	540280-2147

Plus the subject matter described in the material accompanying the petition. (Applies only if box is marked.)

FOR INTRAVENTRICULAR ARTIFICIAL HEARTS AND METHODS OF  
THEIR SURGICAL IMPLANTATION AND THEIR USE

ART UNIT	PAPER NUMBER
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DATE MAILED: FEB. 17, 1989

MUNSON H. LANE, JR.

License under 35 U.S.C. 184 is hereby granted to file in any foreign country a patent application and any amendments thereto corresponding to the subject matter of the U.S. application identified above and/or any material accompanying the petition. This license is conditioned upon modification of any applicable secrecy order and is subject to revocation without notice.

471521

LICENSE NO.

FEB 17 1989

DATE

Commissioner of Patents and Trademarks

This license empowers the filing, the causation and the authorization of the filing of a foreign application or applications on the subject matter identified above, subsequent forwarding of all duplicate and formal papers and the prosecution of such application or applications.

This license is granted under 37 CFR 5.15(a).

This license is to be retained by the licensee and may be used at anytime on or after the date thereof.

This license is not retroactive unless specifically indicated.

The grant of this license does not in any way lessen the responsibility of the licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations, especially with respect to certain countries, of other agencies, particularly the Office of Munitions Control, Department of State (with respect to Arms, Munitions and Implements of War); the Bureau of Trade Regulation, Office of Export Administration, Department of Commerce; and the Department of Energy.

LICENSE FOR FOREIGN FILING  
[Title 35, United States Code (1952) Sections 184, 185, 186]

IX  
-861

## FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

SERIAL NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
07/311,921	02/16/89	332	\$ 902.00	5402802147	18	91	21

EDGAR H. HAUG  
C/O CURTIS, MORRIS & SAFFORD  
530 FIFTH AVENUE  
NEW YORK, NY 10036

DOCKE

RECEIVED  
U.S. PATENT AND TRADEMARK OFFICE  
MAY 13 1989

Receipt is acknowledged of the patent application identified herein. It will be considered in its order and you will be notified as to the examination thereof. Be sure to give the U.S. SERIAL NUMBER, DATE OF FILING, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the date presented on this transmittal.

Applicant(s) ROBERT K. JARVIK, NEW YORK, NY.

02/17/89

FOREIGN FILING LICENSE GRANTED ~~03/08/89~~

\* SMALL ENTITY \*

TITLE  
INTRAVENTRICULAR ARTIFICIAL HEARTS AND METHODS OF THEIR SURGICAL  
IMPLANTATION AND USE

PRELIMINARY CLASS: 623

(see reverse)